

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

2021

Open to Public Inspection

Form 990-PF

Department of the Treasury
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.
Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2021 or tax year beginning , and ending

Name of foundation AVANGRID FOUNDATION, INC.		A Employer identification number 13-4200689						
Number and street (or P.O. box number if mail is not delivered to street address) 180 MARSH HILL RD	Room/suite	B Telephone number 203-836-6457						
City or town, state or province, country, and ZIP or foreign postal code ORANGE, CT 06477		C If exemption application is pending, check here <input type="checkbox"/>						
G Check all that apply: <table border="0"> <tr> <td>Initial return</td> <td>Initial return of a former public charity</td> </tr> <tr> <td>Final return</td> <td>Amended return</td> </tr> <tr> <td>Address change</td> <td>Name change</td> </tr> </table>		Initial return	Initial return of a former public charity	Final return	Amended return	Address change	Name change	D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
Initial return	Initial return of a former public charity							
Final return	Amended return							
Address change	Name change							
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>						
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 4,859,471.	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual Other (specify) _____ (Part I, column (d), must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>						

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	2,647,102.		N/A	
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	503.	503.		STATEMENT 1
	5a Gross rents	252,428.	252,428.		STATEMENT 2
	b Net rental income or (loss)	205,275.			STATEMENT 3
	6a Net gain or (loss) from sale of assets not on line 10	-290,368.			
	b Gross sales price for all assets on line 6a	325,000.			
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	2,609,665.	252,931.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees	STMT 4	11,500.	5,600.	0.
	c Other professional fees				
	17 Interest				
	18 Taxes	STMT 5	1,436.	810.	0.
	19 Depreciation and depletion		34,936.	34,936.	
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
23 Other expenses	STMT 6	11,407.	11,407.	0.	
24 Total operating and administrative expenses. Add lines 13 through 23		59,279.	52,753.	0.	
25 Contributions, gifts, grants paid		2,527,155.		2,527,155.	
26 Total expenses and disbursements. Add lines 24 and 25		2,586,434.	52,753.	2,527,155.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		23,231.			
b Net investment income (if negative, enter -0-)			200,178.		
c Adjusted net income (if negative, enter -0-)			N/A		

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only.		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	2,686,240.	2,948,546.	2,948,546.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges		8,125.	8,125.
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment: basis ▶ 1,750,000.			
	Less: accumulated depreciation STMT 7 ▶ 698,215.	1,686,721.	1,051,785.	1,902,800.
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶			
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	4,372,961.	4,008,456.	4,859,471.
	17 Accounts payable and accrued expenses			
	18 Grants payable	466,000.	78,264.	
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶			
	23 Total liabilities (add lines 17 through 22)	466,000.	78,264.	
	Foundations that follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 24, 25, 29, and 30.			
	24 Net assets without donor restrictions	3,906,961.	3,930,192.	
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds ...			
	29 Total net assets or fund balances	3,906,961.	3,930,192.	
	30 Total liabilities and net assets/fund balances	4,372,961.	4,008,456.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	3,906,961.
2 Enter amount from Part I, line 27a	2	23,231.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	3,930,192.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	3,930,192.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SALE OF RAND ROAD PROPERTY	D	01/01/02	02/26/21
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a 325,000.		615,368.	-290,368.
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			-290,368.
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-290,368.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8	3	N/A

Part V Excise Tax Based on Investment Income (Section 4940(a), 4940(b), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instructions)		1	2,782.
b All other domestic foundations enter 1.39% (0.0139) of line 27b. Exempt foreign organizations, enter 4% (0.04) of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2	0.
3 Add lines 1 and 2		3	2,782.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	2,782.
6 Credits/Payments:			
a 2021 estimated tax payments and 2020 overpayment credited to 2021	6a		3,400.
b Exempt foreign organizations - tax withheld at source	6b		0.
c Tax paid with application for extension of time to file (Form 8868)	6c		0.
d Backup withholding erroneously withheld	6d		0.
7 Total credits and payments. Add lines 6a through 6d		7	3,400.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached		8	0.
9 Tax due. If the total of lines 5 and 8 is more than 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	618.
11 Enter the amount of line 10 to be: Credited to 2022 estimated tax		11	0.

Form 990-PF (2021)

Part VI-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ <u>0.</u> (2) On foundation managers. ▶ \$ <u>0.</u>		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ <u>0.</u>		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		X
If "Yes," attach a detailed description of the activities.		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		X
If "Yes," attach the statement required by <i>General Instruction T</i> .		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XIV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. ▶ <u>DE, NY, CT</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2021 or the tax year beginning in 2021? See the instructions for Part XIII. If "Yes," complete Part XIII		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	X	
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	X	
Website address ▶ <u>WWW.AVANGRID.COM</u>		
14 The books are in care of ▶ <u>PABLO COLON</u> Telephone no. ▶ <u>(203) 836-6457</u> Located at ▶ <u>180 MARSH HILL ROAD, ORANGE, CT</u> ZIP+4 ▶ <u>06477</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶ <u>15</u> N/A		
16 At any time during calendar year 2021, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶		

Form **990-PF** (2021)

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.****1a** During the year, did the foundation (either directly or indirectly):

(1) Engage in the sale or exchange, or leasing of property with a disqualified person?

1a(1) Yes No X

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?

1a(2) Yes No X

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?

1a(3) Yes No X

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?

1a(4) Yes No X

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)

1a(5) Yes No X

1a(6) Yes No X

b If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions

N/A

1b Yes No

c Organizations relying on a current notice regarding disaster assistance, check here

N/A

d Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2021?

1d Yes No X

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):**a** At the end of tax year 2021, did the foundation have any undistributed income (Part XII, lines 6d and 6e) for tax year(s) beginning before 2021?

2a Yes No X

If "Yes," list the years: _____, _____, _____, _____

b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)

N/A

2b Yes No

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here.

_____, _____, _____, _____

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?

3a Yes No X

b If "Yes," did it have excess business holdings in 2021 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2021.)

N/A

3b Yes No

4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?

4a Yes No X

b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2021?

4b Yes No X

Form 990-PF (2021)

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

	Yes	No
5a During the year, did the foundation pay or incur any amount to:		
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?		X
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?		X
(3) Provide a grant to an individual for travel, study, or other similar purposes?		X
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions		X
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?		X
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	N/A	
c Organizations relying on a current notice regarding disaster assistance, check here		
d If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945-5(d).	N/A	
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		X
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.		X
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?		X
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?		X

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERT D. KUMP	ACTING PRESIDENT			
180 MARSH HILL RD				
ORANGE, CT 06477	1.00	0.	0.	0.
ANTONIO M. PEREZ	DIRECTOR			
26 WESSEX COURT				
PITTSFORD, NY 14534	1.00	0.	0.	0.
NICOLE L GRANT	TREASURER			
180 MARSH HILL RD				
ORANGE, CT 06477	3.00	0.	0.	0.
CARMEN ALBERT	SECRETARY			
180 MARSH HILL RD				
ORANGE, CT 06477	1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

Part VIII-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part VIII-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

Form 990-PF (2021)

Part IX Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	0.
b	Average of monthly cash balances	1b	3,667,790.
c	Fair market value of all other assets (see instructions)	1c	1,902,800.
d	Total (add lines 1a, b, and c)	1d	5,570,590.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	5,570,590.
4	Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions)	4	83,559.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3	5	5,487,031.
6	Minimum investment return. Enter 5% (0.05) of line 5	6	274,352.

Part X Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part IX, line 6	1	274,352.
2a	Tax on investment income for 2021 from Part V, line 5	2a	2,782.
b	Income tax for 2021. (This does not include the tax from Part V.)	2b	
c	Add lines 2a and 2b	2c	2,782.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	271,570.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	271,570.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1	7	271,570.

Part XI Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,527,155.
b	Program-related investments - total from Part VIII-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part XII, line 4	4	2,527,155.

Form 990-PF (2021)

Part XII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2020	(c) 2020	(d) 2021
1 Distributable amount for 2021 from Part X, line 7				271,570.
2 Undistributed income, if any, as of the end of 2021:				
a Enter amount for 2020 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2021:				
a From 2016 2,590,264.				
b From 2017 5,216,509.				
c From 2018 2,124,627.				
d From 2019 2,099,926.				
e From 2020 3,303,014.				
f Total of lines 3a through e	15,334,340.			
4 Qualifying distributions for 2021 from Part XI, line 4: ▶ \$ 2,527,155.				
a Applied to 2020, but not more than line 2a ...			0.	
b Applied to undistributed income of prior years (Election required - see instructions) ...		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2021 distributable amount				271,570.
e Remaining amount distributed out of corpus	2,255,585.			
5 Excess distributions carryover applied to 2021 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	17,589,925.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2020. Subtract line 4a from line 2a. Taxable amount - see instr. ...			0.	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2022				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2016 not applied on line 5 or line 7	2,590,264.			
9 Excess distributions carryover to 2022. Subtract lines 7 and 8 from line 6a	14,999,661.			
10 Analysis of line 9:				
a Excess from 2017 ... 5,216,509.				
b Excess from 2018 ... 2,124,627.				
c Excess from 2019 ... 2,099,926.				
d Excess from 2020 ... 3,303,014.				
e Excess from 2021 ... 2,255,585.				

Part XIII Private Operating Foundations (see instructions and Part VI-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2021, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2021	(b) 2020	(c) 2019	(d) 2018	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part IX for each year listed					
b 85% (0.85) of line 2a					
c Qualifying distributions from Part XI, line 4, for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part IX, line 6, for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XIV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

SEE STATEMENT 9

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XIV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
DISTRICT ARTS & EDUCATION 470 JAMES STREET, SUITE 001 NEW HAVEN, CT 06513	NONE	PC	TRAINING, RESEARCH & HIGHER EDUCATION	50,000.
HENRY FORD MUSEUM 20900 OAKWOOD BLVD. DEARBORN, MI 48124	NONE	PC	TRAINING, RESEARCH & HIGHER EDUCATION	50,000.
KENNEBEC VALLEY COMMUNITY COLLEGE FOUNDATION 92 WESTERN AVE FAIRFIELD, ME 04937	NONE	PC	TRAINING, RESEARCH & HIGHER EDUCATION	5,000.
MAINE DEVELOPMENT FOUNDATION 2 BEECH STREET, SUITE 203 HALLOWELL, ME 04347	NONE	PC	TRAINING, RESEARCH & HIGHER EDUCATION	50,000.
MONROE COMMUNITY COLLEGE FOUNDATION 1057 EAST HENRIETTA ROAD ROCHESTER, NY 14623	NONE	PC	TRAINING, RESEARCH & HIGHER EDUCATION	50,000.
Total SEE CONTINUATION SHEET(S) ▶ 3a				2,527,155.
b Approved for future payment				
NONE				
Total ▶ 3b				0.

Part XIV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
UNIVERSITY OF MAINE TWO ALUMNI PLACE ORONO, ME 04469	NONE	PC	TRAINING, RESEARCH & HIGHER EDUCATION	10,000.
YALE UNIVERSITY SCHOOL OF ARCHITECTURE P.O. BOX 208280 NEW HAVEN, CT 06520	NONE	PC	TRAINING, RESEARCH & HIGHER EDUCATION	20,000.
YALE UNIVERISTY INSTITUTE OF PERSERVATION AND CULTURAL HERITAGE P.O. BOX 1873 NEW HAVEN, CT 06508	NONE	PC	TRAINING, RESEARCH & HIGHER EDUCATION	75,000.
OJAI RAPTOR CENTER P.O. BOX 182 OAK VIEW, CA 93022	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	7,000.
WILL STEGER FOUNDATION - CLIMATE CHANGE GENERATION 2801 21ST AVE SOUTH, SUITE 110 MINNEAPOLIS, MN 55407	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	50,000.
BLUE MOUNTAIN WILDLIFE 71046 APPALOOSA LANE PENDLETON, OR 97801	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	7,500.
CENTER FOR WILDLIFE, THE P.O. BOX 620 CAPE NEDDICK, ME 03902	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	7,500.
CITY OF PORTLAND LAND BANK COMMISSION 389 CONGRESS STREET PORTLAND, ME 04101	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	275,000.
CONNECTICUT PUBLIC BROADCASTING 1049 ASYLUM AVE HARTFORD, CT 06105	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	25,000.
GULF OF MAINE RESEARCH INSTITUTE 350 COMMERCIAL STREET PORTLAND, ME 04101	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	50,000.
Total from continuation sheets				2,322,155.

Part XIV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HAWKS A LOFT 6715 EAGLE ROCK AVE, NE, SUITE A ALBUQUERQUE, NM 87113	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	7,500.
ITHACA SCIENCENTER - DISCOVERY MUSEUM 601 FIRST ST ITHACA, NY 14850	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	10,000.
LIBERTY WILDLIFE 2600 E ELWOOD ST PHOENIX, AZ 85040	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	7,000.
NATURES NURSERY P.O. BOX 2395 WHITEHOUSE, OH 43571	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	7,000.
OCEAN FOUNDATION, THE 1320 19TH STREET WASHINGTON, DC 20036	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	50,000.
OREGON MUSEUM OF SCIENCE & INDUSTRY 1945 SE WATER AVENUE PORTLAND, OR 97214	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	10,000.
OREGON ZOO FOUNDATION 4001 SW CANYON ROAD PORTLAND, OR 97221	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	50,000.
RIVERKEEPER 20 SECOR RD OSSINING, NY 10562	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	5,000.
ROARING BROOK NATURE CENTER AND CHILDREN'S MUSEUM 950 TROUT BROOK DRIVE WEST HARTFORD, CT 06119	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	7,500.
ROCHESTER MUSEUM AND SCIENCE CENTER 657 EAST AVE ROCHESTER, NY 14607	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	10,000.
Total from continuation sheets				

Part XIV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SHARON AUDUBON CENTER 325 CORNWALL BRIDGE RD SHARON, CT 06069	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	7,000.
TRUST FOR PUBLIC LAND 101 MONTGOMERY ST., 9TH FLOOR SAN FRANCISCO, CA 94104	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	25,000.
UNIVERSITY OF CALIFORNIA, DAVIS; UC DAVIS SCHOOL OF VETERINARY MEDICINE (UC DAVIS) ONE SHIELDS AVENUE DAVIS, CA 95616	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	5,000.
VENTANA WILDLIFE SOCIETY 9699 BLUE LARKSPAR LANE, SUITE 105 MONTEREY, CA 93940	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	10,000.
WHISPERING WILLOW WILD CARE 3558 CARMAN RD SCHENECTADY, NY 12303	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	7,500.
WILDLIFE WORKS P.O. BOX 113 YOUNGWOOD, PA 15697	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	12,000.
SAVING OUR AVIAN RESOURCES 25494 320TH STREET DEDHAN, IA 51440	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	10,000.
RED CREEK WILDLIFE CENTER 300 MOON HILL DR SCHUYLKILL HAVEN, PA 17972	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	7,500.
CORN HILL NAVIGATION FOUNDATION P.O. BOX 373 PITTSFORD, NY 14534	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	25,000.
ARTS COUNCIL OF GREATER NEW HAVEN 70 AUDUBON STREET, 2ND FLOOR NEW HAVEN, CT 06510	NONE	PC	ARTS & CULTURE	25,000.
Total from continuation sheets				

Part XIV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
INTERNATIONAL FESTIVAL OF ARTS AND IDEAS 195 CHURCH ST 12TH FL NEW HAVEN, CT 06510	NONE	PC	ARTS & CULTURE	20,000.
BARRINGTON STAGE COMPANY 30 UNION ST PITTSFIELD, MA 01201	NONE	PC	ARTS & CULTURE	20,000.
CONNECTICUT WOMEN'S HALL OF FAME 320 FITCH STREET, B-3 (SCHWARTZ HALL) NEW HAVEN, CT 06515	NONE	PC	ARTS & CULTURE	10,000.
CT ASSOCIATION FOR THE PERFORMING ARTS DBA SHUBERT THEATRE 247 COLLEGE STREET NEW HAVEN, CT 06510	NONE	PC	ARTS & CULTURE	10,000.
HANCOCK SHAKER VILLAGE P.O. BOX 927 PITTSFIELD, MA 01201	NONE	PC	ARTS & CULTURE	10,000.
MASSACHUSETTS MUSEUM OF CONTEMPORARY ART 1040 MASS MOCA WAY NORTH ADAMS, MA 01247	NONE	PC	ARTS & CULTURE	10,000.
NXTHVN 169 HENRY STREET NEW HAVEN, CT 06511	NONE	PC	ARTS & CULTURE	50,000.
PORTLAND MUSEUM OF ART 7 CONGRESS SQ PORTLAND, ME 04101	NONE	PC	ARTS & CULTURE	10,000.
SUSAN B. ANTHONY HOUSE AND MUSEUM 17 MADISON STREET ROCHESTER, NY 14608	NONE	PC	ARTS & CULTURE	10,000.
WXII PUBLIC BROADCASTING COUNCIL 280 STATE STREET ROCHESTER, NY 14614	NONE	PC	ARTS & CULTURE	20,000.
Total from continuation sheets				

Part XIV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
YALE UNIVERSITY PEABODY MUSEUM OF NATURAL HISTORY 170 WHITNEY AVE NEW HAVEN, CT 06520	NONE	PC	ARTS & CULTURE	5,000.
PEABODY MUSEUM OF NATURAL HISTORY AT YALE P.O. BOX 208280 NEW HAVEN, CT 06520-8280	NONE	PC	ARTS & CULTURE	30,000.
AMERICAN ONLINE GIVING /BENEVITY 200 MAIN STREET SAFE HARBOR, FL 34695	NONE	PC	COMMUNITY PROGRAMS	30,716.
AMERICAN RED CROSS - OREGON WILDFIRES 209 FARMINGTON AVE FARMINGTON, CT 06032	NONE	PC	COMMUNITY PROGRAMS	325,000.
AMERICAN CIVIL LIBERTIES UNION FOUNDATION 125 BROAD STREE, 18TH FLOOR NEW YORK, NY 10004	NONE	PC	COMMUNITY PROGRAMS	20,000.
AMERICARES 88 HAMILTON AVE STAMFORD, CT 06902	NONE	PC	COMMUNITY PROGRAMS	25,000.
BIG BROTHERS BIG SISTERS OF GREATER ROCHESTER 37 SOUTH WASHINGTON STREET ROCHESTER, NY 14608	NONE	PC	COMMUNITY PROGRAMS	5,000.
BOOKER T WASHINGTON COMMUNITY CENTER 23 CHAPMAN AVE AUBURN, NY 13201	NONE	PC	COMMUNITY PROGRAMS	5,000.
BROWN HOPE- BLACK RESILIENCE FUND 1001 SE SANDY BLVD PORTLAND, OR 97214	NONE	PC	COMMUNITY PROGRAMS	5,000.
CAMP SUNSHINE 35 ACADIA RD CASCO, ME 04015	NONE	PC	COMMUNITY PROGRAMS	5,000.
Total from continuation sheets				

Part XIV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CATHOLIC CHARITIES - ARCHDIOCESE OF HARTFORD 839-841 ASYLUM AVE HARTFORD, CT 06105	NONE	PC	COMMUNITY PROGRAMS	10,000.
CENTER FOR FAMILY JUSTICE, THE 753 FAIRFIELD AVENUE BRIDGEPORT, CT 06604	NONE	PC	COMMUNITY PROGRAMS	5,000.
CITY OF NEW HAVEN - ELM CITY ROBOTICS 200 ORANGE STREET NEW HAVEN, CT 06510	NONE	PC	COMMUNITY PROGRAMS	5,000.
CONNECTED COMMUNITIES 1337 EAST MAIN STREET ROCHESTER, NY 14609	NONE	PC	COMMUNITY PROGRAMS	5,000.
CONNECTICUT FOOD BANK 2 RESEARCH PKWY WALLINGFORD, CT 06492	NONE	PC	COMMUNITY PROGRAMS	25,000.
FOOD BANK OF THE SOUTHERN TIER, CATHOLIC CHARITIES 388 UPPER OAKWOOD AVENUE ELMIRA, NY 14903	NONE	PC	COMMUNITY PROGRAMS	20,000.
FOOD BANK OF WESTERN MASSACHUSETTS P.O. BOX 160 HATFIELD, MA 01038	NONE	PC	COMMUNITY PROGRAMS	10,000.
FOODLINK 1999 MT READ BLVD ROCHESTER, NY 14615	NONE	PC	COMMUNITY PROGRAMS	20,000.
FRIENDS OF GREEN CHIMNEYS 400 DOANSBURG ROAD, CALLER BOX 719 BREWSTER, NY 10509	NONE	PC	COMMUNITY PROGRAMS	10,000.
GLADYS ALLEN BRIGHAM COMMUNITY CENTER - GIRLS, INC OF THE BERKSHIRES 165 EAST STREET PITTSFIELD, MA 01201	NONE	PC	COMMUNITY PROGRAMS	25,000.
Total from continuation sheets				

Part XIV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
GOOD SHEPARD FOOD BANK 3121 HOTEL ROAD AUBURN, ME 04211	NONE	PC	COMMUNITY PROGRAMS	20,000.
HABITAT FOR HUMANITY INTERNATIONAL 121 HABITAT ST AMERICUS, GA 31709	NONE	PC	COMMUNITY PROGRAMS	135,000.
HERITAGE CHRISTIAN SERVICES 275 KENNETH DRIVE, SUITE 100 ROCHESTER, NY 14623	NONE	PC	COMMUNITY PROGRAMS	10,000.
IBERO-AMERICAN ACTION LEAGUE 817 E MAIN ST ROCHESTER, NY 14605	NONE	PC	COMMUNITY PROGRAMS	10,000.
INGENUITYNE/NE FIRST P.O. BOX 940 BLOOMFIELD, CT 06002	NONE	PC	COMMUNITY PROGRAMS	5,000.
INTEFRATED REFUGEE & IMMIGRATION SERVICES 235 NICOLL STREET NEW HAVEN , CT 06511	NONE	PC	COMMUNITY PROGRAMS	25,000.
JOBS FOR MAINE'S GRADUATES, INC. 45 COMMERCE DR., SUITE 9 AUGUSTA, ME 04430	NONE	PC	COMMUNITY PROGRAMS	25,000.
JUDICIAL PROCESS COMMISSION 1921 NORTON STREET ROCHESTER, NY 14609	NONE	PC	COMMUNITY PROGRAMS	5,000.
MAINE WOMENS LOBBY EDUCATION FUND 295 WATER STREET, SUITE 10 AUGUSTA, ME 04330	NONE	PC	COMMUNITY PROGRAMS	5,000.
NEW BEGINNINGS 134 COLLEGE STREET LEWISTON, ME 04240	NONE	PC	COMMUNITY PROGRAMS	10,000.
Total from continuation sheets				

Part XIV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NEW HAVEN PRIDE CENTER P.O. BOX 89814 NEW HAVEN, CT 06532	NONE	PC	COMMUNITY PROGRAMS	5,000.
NEW HAVEN READS 45 BRISTOL STREET NEW HAVEN, CT 06511	NONE	PC	COMMUNITY PROGRAMS	5,000.
ONE CITY FUN CITY OF ROCHESTER - COOL SWEEP 30 CHURCH ST, ROOM 106-A ROCHESTER, NY 14614	NONE	PC	COMMUNITY PROGRAMS	15,000.
OPERATION FUEL 75 CHARTER OAK AVE STE 2-240 HARTFORD, CT 06106	NONE	PC	COMMUNITY PROGRAMS	25,000.
OREGON CHILDREN'S FOUNDATION - START MAKING A READER TODAY (SMART) 101 SW MARKET ST PORTLAND, OR 97201	NONE	PC	COMMUNITY PROGRAMS	35,000.
OREGON FOOD BANK 7900 NE 33RD DR PORTLAND, OR 97211	NONE	PC	COMMUNITY PROGRAMS	20,000.
PINE TREE SOCIETY 149 FRONT STREET BATH, ME 04530	NONE	PC	COMMUNITY PROGRAMS	5,000.
PREBLE STREET 38 PREBLE STREET PORTLAND, ME 04101	NONE	PC	COMMUNITY PROGRAMS	10,000.
LGBTQ COMMUNITY CENTER FUND - Q CENTER 4115 N MISSISSIPPI AVE PORTLAND, OR 97217	NONE	PC	COMMUNITY PROGRAMS	5,000.
RAPE, ABUSE & INCEST NATIONAL NETWORK - RAINN 1220 L STREET, NW, SUITE 505 WASHINGTON, DC 20005	NONE	PC	COMMUNITY PROGRAMS	25,000.
Total from continuation sheets				

Part XIV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ROCHESTER REGIONAL HOSPITAL FOUNDATION P.O. BOX 90234 PORTLAND , OR 97290	NONE	PC	COMMUNITY PROGRAMS	10,000.
RONALD MCDONALD HOUSE CHARITIES OF NEW YORK - ROCHESTER 333 WESTMORELAND DRIVE ROCHESTER, NY 14620	NONE	PC	COMMUNITY PROGRAMS	20,000.
RONALD MCDONALD HOUSE CHARITIES OF PORTLAND & BANGOR 250 BRACKETT STREET PORTLAND, ME 04102	NONE	PC	COMMUNITY PROGRAMS	20,000.
RONALD MCDONALD HOUSES CHARITIES OF CONNECTICUT & WESTERN MASSACHUSETTS 860 HOWARD AVE NEW HAVEN, CT 06519	NONE	PC	COMMUNITY PROGRAMS	25,000.
SOLAR YOUTH 53 WAYFARER STREET NEW HAVEN, CT 06515	NONE	PC	COMMUNITY PROGRAMS	10,000.
SOUL FRIENDS P.O. BOX 206 BINGHAMTON , NY 13903	NONE	PC	COMMUNITY PROGRAMS	5,000.
SPURWINK SERVICES 901 WASHINGTON AVE, SUITE 100 PORTLAND, ME 04103	NONE	PC	COMMUNITY PROGRAMS	5,000.
TRINITY JUBILEE CENTER 247 BATES STREET LEWISTON, ME 04240	NONE	PC	COMMUNITY PROGRAMS	25,000.
UNITED WAY OF GREATER NEW HAVEN 900 CHAPEL ST 10TH FLR NEW HAVEN, CT 06510	NONE	PC	COMMUNITY PROGRAMS	10,000.
UNITED WAY BROOME COUNTY P.O. BOX 550 BINGHAMTON, NY 13902	NONE	PC	COMMUNITY PROGRAMS	32,000.
Total from continuation sheets				

Part XIV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
UNITED WAY OF GREATER PORTLAND P.O. BOX 15200 PORTLAND, ME 04112	NONE	PC	COMMUNITY PROGRAMS	20,365.
UNITED WAY OF GREATER ROCHESTER 75 COLLEGE AVE ROCHESTER, NY 14607	NONE	PC	COMMUNITY PROGRAMS	10,000.
UNITED WAY OF KENNEBEC VALLEY 121 COMMERCIAL ST AUGUSTA, ME 04330	NONE	PC	COMMUNITY PROGRAMS	31,272.
UNITED WAY OF MID COAST MAINE 34 WING FARM PKWY, STE 201 BATH, ME 04530	NONE	PC	COMMUNITY PROGRAMS	10,000.
UNITED WAY WORLDWIDE - TRUIST - EMPLOYEE MATCH (CONNECTICUT) 701 NORTH FAIRFAX STREET ALEXANDRIA, VA 22314	NONE	PC	COMMUNITY PROGRAMS	39,538.
VETERANS ADAPTIVE SPORTS & TRAINING (VAST), PINELAND FARMS 32 FARM VIEW DRIVE NEW GLOUCESTER, ME 04260	NONE	PC	COMMUNITY PROGRAMS	5,000.
YALE NEW HAVEN HOSPITAL 20 YORK STREET NEW HAVEN, CT 06504	NONE	PC	COMMUNITY PROGRAMS	20,000.
YOUTH CONTINUUM 141 VALLEY STREET NEW HAVEN, CT 06515	NONE	PC	COMMUNITY PROGRAMS	10,000.
UNITED WAY OF KLAMATH BASIN 136 N 3RD STREET, SUITE B KLAMATH FALLS, OR 97601	NONE	PC	COMMUNITY PROGRAMS	28,264.
Total from continuation sheets				

Schedule B
(Form 990)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**▶ Attach to Form 990 or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2021

Name of the organization

AVANGRID FOUNDATION, INC.

Employer identification number

13-4200689

Organization type (check one):

Filers of:**Section:**

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000; or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990).

LHA For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990) (2021)

Name of organization

Employer identification number

AVANGRID FOUNDATION, INC.

13-4200689

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	AVANGRID RENEWABLES 1125 NW COUCH STREET SUITE 700 PORTLAND, OR 97209	\$ 550,500.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2	NEW YORK STATE ELECTRIC AND GAS P.O. BOX 5224 BINGHAMTON, NY 13902	\$ 500,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
3	CENTRAL MAINE POWER COMPANY 83 EDISON DRIVE AUGUSTA, ME 04332	\$ 300,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
4	ROCHESTER GAS AND ELECTRIC CORPORATION PO BOX 1050 BINGHAMTON, NY 13902	\$ 500,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
5	BERKSHIRE GAS CO 115 CHESHIRE ROAD PITTSFIELD, MA 01201	\$ 50,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
6	UIL HOLDINGS 60 MARSH HILL ROAD NEW HAVEN, CT 06510	\$ 550,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

AVANGRID FOUNDATION, INC.

13-4200689

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	UNION WATER POWER COMPANY PO BOX 1050 AUGUSTA, ME 04332	\$ 63,300.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

AVANGRID FOUNDATION, INC.

13-4200689

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

Employer identification number

AVANGRID FOUNDATION, INC.**13-4200689****Part III**

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this info. once.) ► \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT 1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
JP MORGAN	503.	0.	503.	503.	
TO PART I, LINE 4	503.	0.	503.	503.	

FORM 990-PF	RENTAL INCOME	STATEMENT 2
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KIND AND LOCATION OF PROPERTY	ACTIVITY NUMBER	GROSS RENTAL INCOME
ANTHONY AVE, AUGUSTA, ME	2	252,428.
TOTAL TO FORM 990-PF, PART I, LINE 5A		252,428.

FORM 990-PF	RENTAL EXPENSES	STATEMENT 3
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DESCRIPTION	ACTIVITY NUMBER	AMOUNT	TOTAL
DEPRECIATION		34,936.	
TAXES		810.	
SUPPORT SERVICES		11,407.	
- SUBTOTAL -	2		47,153.
TOTAL RENTAL EXPENSES			47,153.
NET RENTAL INCOME TO FORM 990-PF, PART I, LINE 5B			205,275.

FORM 990-PF	ACCOUNTING FEES	STATEMENT 4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL AND ACCOUNTING FEES	11,500.	5,600.		0.
TO FORM 990-PF, PG 1, LN 16B	11,500.	5,600.		0.

FORM 990-PF

TAXES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	376.	0.		0.
NYS FEE	250.	0.		0.
TAXES	810.	810.		0.
TO FORM 990-PF, PG 1, LN 18	1,436.	810.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
SUPPORT SERVICES	11,407.	11,407.		0.
TO FORM 990-PF, PG 1, LN 23	11,407.	11,407.		0.

FORM 990-PF

DEPRECIATION OF ASSETS HELD FOR INVESTMENT

STATEMENT 7

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
BUILDINGS AND IMPROVEMENTS	1,395,884.	698,215.	697,669.
LAND	354,116.	0.	354,116.
TOTAL TO FM 990-PF, PART II, LN 11	1,750,000.	698,215.	1,051,785.

FORM 990-PF

LIST OF SUBSTANTIAL CONTRIBUTORS
PART VI-A, LINE 10

STATEMENT 8

NAME OF CONTRIBUTORADDRESS

NEW YORK STATE ELECTRIC AND GAS

P.O. BOX 5224
BINGHAMTON, NY 13901ROCHESTER GAS AND ELECTRIC
CORPORATIONPO BOX 5244
BINGHAMTON, NY 13902

CENTRAL MAINE POWER COMPANY

83 EDISON DRIVE
AUGUSTA, ME 04332

AVANGRID RENEWABLES

1125 NW COUCH ST STE 600
PORTLAND, OR 97209

UIL HOLDINGS

60 MARSH HILL ROAD
NEW HAVEN, CT 06510

BERKSHIRE GAS COMPANY

115 CHESHIRE ROAD
PITTSFIELD, MA 01201

UNION WATER POWER COMPANY

PO BOX 1050
AUGUSTA, ME 04332

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XIV, LINES 2A THROUGH 2D

STATEMENT 9

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

PABLO COLON
180 MARSH HILL ROAD
ORANGE, CT 06477

TELEPHONE NUMBER

203-836-6457

EMAIL ADDRESS

AVANGRIDFOUNDATION@AVANGRID.COM

FORM AND CONTENT OF APPLICATIONS

FOUNDATION HAS A GRANT APPLICATION

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

QUALIFIED 501(C)(3) AND RELATED ENTITIES BASED AND OPERATING IN THE US AND TERRITORIES PRIMARILY, WITH A FOCUS SUSTAINABLE COMMUNITIES AND VULNERABLE PERSONS.