

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

2020

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2020 or tax year beginning , and ending

Name of foundation AVANGRID FOUNDATION, INC.		A Employer identification number 13-4200689
Number and street (or P O box number if mail is not delivered to street address) 180 MARSH HILL RD	Room/suite	B Telephone number 203-499-2461
City or town, state or province, country, and ZIP or foreign postal code ORANGE, CT 06477		C If exemption application is pending, check here ▶
G Check all that apply Initial return Final return Address change Initial return of a former public charity Amended return Name change		D 1 Foreign organizations, check here ▶ 2 Foreign organizations meeting the 85% test, check here and attach computation ▶
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ▶
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 4,914,040.	J Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual Other (specify) _____ (Part I, column (d), must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	2,562,758.		N/A	
	2 Check ▶ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	13,889.	13,889.		STATEMENT 1
	5a Gross rents	274,830.	274,830.		STATEMENT 2
	b Net rental income or (loss) 234,575.				STATEMENT 3
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total Add lines 1 through 11		2,851,477.	288,719.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 4	11,200.	5,600.		0.
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 5	12,715.	5,319.		0.
	19 Depreciation and depletion	34,936.	34,936.		
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 6	4,190.	0.		0.
	24 Total operating and administrative expenses Add lines 13 through 23	63,041.	45,855.		0.
	25 Contributions, gifts, grants paid	3,600,375.			3,600,375.
26 Total expenses and disbursements Add lines 24 and 25	3,663,416.	45,855.		3,600,375.	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-811,939.				
b Net investment income (if negative, enter -0-)		242,864.			
c Adjusted net income (if negative, enter -0-)			N/A		

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	4,065,243.	2,686,240.	2,686,240.
	3 Accounts receivable			
	Less allowance for doubtful accounts	25,000.		
	4 Pledges receivable			
	Less allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable			
	Less allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis	2,350,000.		
Less accumulated depreciation	STMT 7 663,279.	1,721,657.	1,686,721.	2,227,800.
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment basis				
Less accumulated depreciation				
15 Other assets (describe)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	5,811,900.	4,372,961.	4,914,040.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable	1,093,000.	466,000.	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe)			
23 Total liabilities (add lines 17 through 22)	1,093,000.	466,000.		
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here	<input checked="" type="checkbox"/>		
	and complete lines 24, 25, 29, and 30.			
	24 Net assets without donor restrictions	4,718,900.	3,906,961.	
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here	<input type="checkbox"/>		
	and complete lines 26 through 30			
	26 Capital stock, trust principal, or current funds			
27 Paid-in or capital surplus, or land, bldg, and equipment fund				
28 Retained earnings, accumulated income, endowment, or other funds				
29 Total net assets or fund balances	4,718,900.	3,906,961.		
30 Total liabilities and net assets/fund balances	5,811,900.	4,372,961.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	4,718,900.
2 Enter amount from Part I, line 27a	2	-811,939.
3 Other increases not included in line 2 (itemize)	3	0.
4 Add lines 1, 2, and 3	4	3,906,961.
5 Decreases not included in line 2 (itemize)	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	3,906,961.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr.)	(d) Date sold (mo , day, yr)
1a				
b	NONE			
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col. (h))	
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) See instructions. If (loss), enter -0- in Part I, line 8	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE.

1 Reserved			
(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
Reserved			
2 Reserved			2
3 Reserved			3
4 Reserved			4
5 Reserved			5
6 Reserved			6
7 Reserved			7
8 Reserved			8

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		
b Reserved	1	3,376.
c All other domestic foundations enter 1.39% of line 27b Exempt foreign organizations, enter 4% of Part I, line 12, col (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2	0.
3 Add lines 1 and 2	3	3,376.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4	0.
5 Tax based on investment income Subtract line 4 from line 3 If zero or less, enter -0-	5	3,376.
6 Credits/Payments		
a 2020 estimated tax payments and 2019 overpayment credited to 2020	6a	6,400.
b Exempt foreign organizations - tax withheld at source	6b	0.
c Tax paid with application for extension of time to file (Form 8868)	6c	0.
d Backup withholding erroneously withheld	6d	0.
7 Total credits and payments Add lines 6a through 6d	7	6,400.
8 Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	0.
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	3,024.
11 Enter the amount of line 10 to be Credited to 2021 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered See instructions <input type="checkbox"/> DE, NY, CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the tax year beginning in 2020? See the instructions for Part XIV If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	X	

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Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges?
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?
14 The books are in care of NICOLE GRANT Telephone no. (203) 499-2461
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here
16 At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.
1a During the year, did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official?
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance?
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2020, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2020?
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income?
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

		Yes	No
5a During the year, did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here	N/A <input type="checkbox"/>	5b	
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945-5(d)	N/A <input type="checkbox"/> Yes <input type="checkbox"/> No		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870		6b	X
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b	
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERT D. KUMP 180 MARSH HILL RD ORANGE, CT 06477	ACTING PRESIDENT 1.00	0.	0.	0.
ANTONIO M. PEREZ 26 WESSEX COURT PITTSFORD, NY 14534	DIRECTOR 1.00	0.	0.	0.
NICOLE L GRANT 180 MARSH HILL RD ORANGE, CT 06477	TREASURER 3.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	0.
b	Average of monthly cash balances	1b	3,878,533.
c	Fair market value of all other assets	1c	2,227,800.
d	Total (add lines 1a, b, and c)	1d	6,106,333.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	6,106,333.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	91,595.
5	Net value of noncharitable-use assets . Subtract line 4 from line 3. Enter here and on Part V, line 4	5	6,014,738.
6	Minimum investment return . Enter 5% of line 5	6	300,737.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	300,737.
2a	Tax on investment income for 2020 from Part VI, line 5	2a	3,376.
b	Income tax for 2020 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	3,376.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	297,361.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	297,361.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted . Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	297,361.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	3,600,375.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions . Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	3,600,375.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions . Subtract line 5 from line 4	6	3,600,375.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				297,361.
2 Undistributed income, if any, as of the end of 2020				
a Enter amount for 2019 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2020				
a From 2015	978,843.			
b From 2016	2,590,264.			
c From 2017	5,216,509.			
d From 2018	2,124,627.			
e From 2019	2,099,926.			
f Total of lines 3a through e	13,010,169.			
4 Qualifying distributions for 2020 from Part XII, line 4 ▶ \$ 3,600,375.				
a Applied to 2019, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2020 distributable amount				297,361.
e Remaining amount distributed out of corpus	3,303,014.			
5 Excess distributions carryover applied to 2020 (if an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	16,313,183.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2019 Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2020 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2021				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2015 not applied on line 5 or line 7	978,843.			
9 Excess distributions carryover to 2021 Subtract lines 7 and 8 from line 6a	15,334,340.			
10 Analysis of line 9				
a Excess from 2016	2,590,264.			
b Excess from 2017	5,216,509.			
c Excess from 2018	2,124,627.			
d Excess from 2019	2,099,926.			
e Excess from 2020	3,303,014.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2020	(b) 2019	(c) 2018	(d) 2017	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4, for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities					
Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**
 a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
 Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

SEE STATEMENT 9

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
DISTRICT ARTS & EDUCATION 470 JAMES STREET, SUITE 001 NEW HAVEN, CT 06513	NONE	PC	TRAINING, RESEARCH & HIGHER EDUCATION	50,000.
HENRY FORD MUSEUM 20900 OAKWOOD BLVD. DEARBORN, MI 48124	NONE	PC	TRAINING, RESEARCH & HIGHER EDUCATION	50,000.
KENNEBEC VALLEY COMMUNITY COLLEGE FOUNDATION 92 WESTERN AVE FAIRFIELD, ME 04937	NONE	PC	TRAINING, RESEARCH & HIGHER EDUCATION	5,000.
MAINE DEVELOPMENT FOUNDATION 2 BEECH STREET, SUITE 203 HALLOWELL, ME 04347	NONE	PC	TRAINING, RESEARCH & HIGHER EDUCATION	50,000.
MONROE COMMUNITY COLLEGE FOUNDATION 1057 EAST HENRIETTA ROAD ROCHESTER, NY 14623	NONE	PC	TRAINING, RESEARCH & HIGHER EDUCATION	10,000.
Total SEE CONTINUATION SHEET(S)				3,600,375.
b Approved for future payment				
BLUEHUB CAPITAL 10 MALCOM BLVD BOSTON, MA 02119	NONE	PC	EDUCATION AND RESEARCH	50,000.
NATIONAL FISH & WILDLIFE FOUNDATION 1133 15TH STREET, NE, SUITE 1000 WASHINGTON, DC 20005	NONE	PC	BIODIVERSITY SUSTAINABILITY	300,000.
THE FOUNDATION OF THE STATE UNIVERSITY OF NEW YORK AT BINGHAMTON, INC PO BOX 6005 BINGHAMTON, NY 13902-6005	NONE	PC	EDUCATION & RESEARCH	75,000.
Total SEE CONTINUATION SHEET(S)				466,000.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
UNIVERSITY OF MAINE TWO ALUMNI PLACE ORONO, ME 04469	NONE	GOV	TRAINING, RESEARCH & HIGHER EDUCATION	10,000.
YALE UNIVERSITY SCHOOL OF ARCHITECTURE P.O. BOX 208280 NEW HAVEN, CT 06520	NONE	PC	TRAINING, RESEARCH & HIGHER EDUCATION	20,000.
OJAI RAPTOR CENTER P.O. BOX 182 OAK VIEW, CA 93022	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	10,000.
WILL STEGER FOUNDATION - CLIMATE CHANGE GENERATION 2801 21ST AVE SOUTH, SUITE 110 MINNEAPOLIS, MN 55407	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	50,000.
BLUE MOUNTAIN WILDLIFE 71046 APPALOOSA LANE PENDLETON, OR 97801	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	7,500.
CENTER FOR WILDLIFE, THE P.O. BOX 620 CAPE NEDDICK, ME 03902	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	10,000.
GULF OF MAINE RESEARCH INSTITUTE 350 COMMERCIAL STREET PORTLAND, ME 04101	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	50,000.
HAWKS A LOFT 6715 EAGLE ROCK AVE, NE, SUITE A ALBUQUERQUE, NM 87113	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	10,000.
ITHACA SCIENCENTER - DISCOVERY MUSEUM 601 FIRST ST ITHACA, NY 14850	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	10,000.
LIBERTY WILDLIFE 2600 E ELWOOD ST PHOENIX, AZ 85040	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	7,500.
Total from continuation sheets				3,435,375.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NATURES NURSERY P.O. BOX 2395 WHITEHOUSE, OH 43571	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	7,500.
OCEAN FOUNDATION, THE 1320 19TH STREET WASHINGTON, DC 20036	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	140,000.
OREGON MUSEUM OF SCIENCE & INDUSTRY 1945 SE WATER AVENUE PORTLAND, OR 97214	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	10,000.
OREGON ZOO FOUNDATION 4001 SW CANYON ROAD PORTLAND, OR 97221	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	50,000.
RIVERKEEPER 20 SECOR RD OSSINING, NY 10562	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	5,000.
ROCHESTER MUSEUM AND SCIENCE CENTER 657 EAST AVE ROCHESTER, NY 14607	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	10,000.
SHARON AUDUBON CENTER 325 CORNWALL BRIDGE RD SHARON, CT 06069	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	10,000.
TRUST FOR PUBLIC LAND 101 MONTGOMERY ST., 9TH FLOOR SAN FRANCISCO, CA 94104	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	25,000.
UNIVERSITY OF CALIFORNIA, DAVIS; UC DAVIS SCHOOL OF VETERINARY MEDICINE (UC DAVIS) ONE SHIELDS AVENUE DAVIS, CA 95616	NONE	GOV	BIODIVERSITY & CLIMATE CHANGE	12,000.
VENTANA WILDLIFE SOCIETY 9699 BLUE LARKSPAR LANE, SUITE 105 MONTEREY, CA 93940	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	25,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
WHISPERING WILLOW WILD CARE 3558 CARMAN RD SCHENECTADY, NY 12303	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	10,000.
WILDLIFE WORKS P.O. BOX 113 YOUNGWOOD, PA 15697	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	5,500.
INTERNATIONAL FESTIVAL OF ARTS AND IDEAS 195 CHURCH ST 12TH FL NEW HAVEN, CT 06510	NONE	PC	ARTS & CULTURE	20,000.
WADSWORTH ATHENEUM MUSEUM OF ART 600 MAIN STREET HARTFORD, CT 06103	NONE	PC	ARTS & CULTURE	55,000.
ADAMS SUFFRAGE CENTENNIAL CELEBRATION COMMITTEE, TOWN OF ADAMS (ASCCC) 2 CENTER STREET, P.O. BOX 228 ADAMS, MA 01220	NONE	PC	ARTS & CULTURE	10,000.
BARRINGTON STAGE COMPANY 30 UNION ST PITTSFIELD, MA 01201	NONE	PC	ARTS & CULTURE	20,000.
CONNECTICUT WOMEN'S HALL OF FAME 320 FITCH STREET, B-3 (SCHWARTZ HALL) NEW HAVEN, CT 06515	NONE	PC	ARTS & CULTURE	10,000.
CT ASSOCIATION FOR THE PERFORMING ARTS DBA SHUBERT THEATRE 247 COLLEGE STREET NEW HAVEN, CT 06510	NONE	PC	ARTS & CULTURE	10,000.
HANCOCK SHAKER VILLAGE P.O. BOX 927 PITTSFIELD, MA 01201	NONE	PC	ARTS & CULTURE	10,000.
JOSEPH AVENUE ARTS AND CULTURAL ALLIANCE P.O. BOX 30147 ROCHESTER, NY 14603	NONE	PC	ARTS & CULTURE	10,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MASSACHUSETTS MUSEUM OF CONTEMPORARY ART 1040 MASS MOCA WAY NORTH ADAMS, MA 01247	NONE	PC	ARTS & CULTURE	10,000.
NATION WOMEN'S HALL OF FAME 1 CANAL STREET SENECA FALLS, NY 13148	NONE	PC	ARTS & CULTURE	10,000.
NATIONAL BUILDING MUSEUM 401 F STREET, NW WASHINGTON, DC 20001	NONE	PC	ARTS & CULTURE	25,000.
NORTHEAST INDIANA FOUNDATION 200 EAST MAIN STEET, SUITE 910 FORT WAYNE, IN 46802	NONE	PC	ARTS & CULTURE	5,000.
PORTLAND MUSEUM OF ART 7 CONGRESS SQ PORTLAND, ME 04101	NONE	PC	ARTS & CULTURE	10,000.
SNOW POND CENTER FOR THE ARTS, NEW ENGLAND MUSIC CAM ASSOCIATION 8 GOLDENROD LAND SIDNEY, ME 04330	NONE	PC	ARTS & CULTURE	25,000.
SUSAN B. ANTHONY HOUSE AND MUSEUM 17 MADISON STREET ROCHESTER, NY 14608	NONE	PC	ARTS & CULTURE	25,000.
WXXI PUBLIC BROADCASTING COUNCIL 280 STATE STREET ROCHESTER, NY 14614	NONE	PC	ARTS & CULTURE	17,000.
YALE UNIVERSITY - INSTITUTION FOR THE PRESERVATION OF CULTURAL HERITAGE (IPCH) P.O. BOX 208280 NEW HAVEN, CT 06520-8280	NONE	PC	ARTS & CULTURE	50,000.
PEABODY MUSEUM OF NATURAL HISTORY AT YALE P.O. BOX 208280 NEW HAVEN, CT 06520-8280	NONE	PC	ARTS & CULTURE	5,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
AMERICAN ONLINE GIVING /BENEVITY 40 EAST MAIN STREET NEWARK, DE 19711	NONE	PC	COMMUNITY PROGRAMS	55,658.
AMERICAN RED CROSS - OREGON WILDFIRES 209 FARMINGTON AVE FARMINGTON, CT 06032	NONE	PC	COMMUNITY PROGRAMS	50,000.
AMERICAN RED CROSS 209 FARMINGTON AVE FARMINGTON, CT 06032	NONE	PC	COMMUNITY PROGRAMS	250,000.
AMERICAN RED CROSS 209 FARMINGTON AVE FARMINGTON, CT 06032	NONE	PC	COMMUNITY PROGRAMS	100,000.
OREGON FOOD BANK 7900 NE 33RD DR PORTLAND, OR 97211	NONE	PC	COMMUNITY PROGRAMS	20,000.
AMERICAN CIVIL LIBERTIES UNION FOUNDATION 125 BROAD STREE, 18TH FLOOR NEW YORK, NY 10004	NONE	PC	COMMUNITY PROGRAMS	20,000.
AMERICARES 88 HAMILTON AVE STAMFORD, CT 06902	NONE	PC	COMMUNITY PROGRAMS	100,000.
CAMP SUNSHINE 35 ACADIA RD CASCO, ME 04015	NONE	PC	COMMUNITY PROGRAMS	5,000.
CAPITAL FOR CHANGE 10 ALEXANDER DRIVE WALLINGFORD, CT 06492	NONE	PC	COMMUNITY PROGRAMS	20,000.
CATHOLIC CHARITIES - ARCHDIOCESE OF HARTFORD 839-841 ASYLUM AVE HARTFORD, CT 06105	NONE	PC	COMMUNITY PROGRAMS	10,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CENTER FOR FAMILY JUSTICE, THE 753 FAIRFIELD AVENUE BRIDGEPORT, CT 06604	NONE	PC	COMMUNITY PROGRAMS	5,000.
CENTRAL NEW YORK COMMUNITY FOUNDATION 431 EAST FAYETTE STREET, SUITE 100 SYRACUSE, NY 13202	NONE	PC	COMMUNITY PROGRAMS	50,000.
CHELSEA HICKS FOUNDATION P.O. BOX 755 TUALATIN, OR 97062	NONE	PC	COMMUNITY PROGRAMS	10,000.
CHILD HUNGER OUTREACH PARTNERS (CHOP) 2 ELIZABETH STREET TOWANDA, PA 18848	NONE	PC	COMMUNITY PROGRAMS	5,000.
COMMUNITY FOUNDATION FOR GREATER BUFFALO 762 EXCHANGE STREET, SUITE 525 BUFFALO, NY 14210	NONE	PC	COMMUNITY PROGRAMS	50,000.
COMMUNITY FOUNDATION FOR SOUTH CENTRAL NEW YORK 520 COLUMBIA DRIVE, SUITE 100 JOHNSON CITY, NY 13790	NONE	PC	COMMUNITY PROGRAMS	25,000.
CONNECTICUT FOOD BANK 2 RESEARCH PKWY WALLINGFORD, CT 06492	NONE	PC	COMMUNITY PROGRAMS	25,000.
DAYS FOR GIRLS INTERNATIONAL - UNADILLA CHAPTER P.O. BOX 2622 MOUNT VERNON, WA 98273	NONE	PC	COMMUNITY PROGRAMS	5,000.
FEEDING AMERICA 35 EAST WACKER DRIVE, SUITE 2000 CHICAGO, IL 60601	NONE	PC	COMMUNITY PROGRAMS	150,000.
FOOD BANK OF THE SOUTHERN TIER, CATHOLIC CHARITIES 388 UPPER OAKWOOD AVENUE ELMIRA, NY 14903	NONE	PC	COMMUNITY PROGRAMS	20,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FOOD BANK OF WESTERN MASSACHUSETTS P.O. BOX 160 HATFIELD, MA 01038	NONE	PC	COMMUNITY PROGRAMS	10,000.
FOODLINK 1999 MT READ BLVD ROCHESTER, NY 14615	NONE	PC	COMMUNITY PROGRAMS	20,000.
FRIENDS OF GREEN CHIMNEYS 400 DOANSBURG ROAD, CALLER BOX 719 BREWSTER, NY 10509	NONE	PC	COMMUNITY PROGRAMS	10,000.
GLADYS ALLEN BRIGHAM COMMUNITY CENTER - GIRLS, INC OF THE BERKSHIRES 165 EAST STREET PITTSFIELD, MA 01201	NONE	PC	COMMUNITY PROGRAMS	25,000.
GOOD SHEPARD FOOD BANK 3121 HOTEL ROAD AUBURN, ME 04211	NONE	PC	COMMUNITY PROGRAMS	20,000.
HABITAT FOR HUMANITY INTERNATIONAL 121 HABITAT ST AMERICUS, GA 31709	NONE	PC	COMMUNITY PROGRAMS	135,000.
HARTFORD FOUNDATION FOR PUBLIC GIVING 10 COLUMBUS BLVD., 8TH FLOOR HARTFORD, CT 06106	NONE	PC	COMMUNITY PROGRAMS	25,000.
HEARTSHARE HUMAN SERVICES OF NEW YORK, ROMAN CATHOLIC DIOCESE OF BROOKLYN 12 METRO TECH CTR 29TH FLR BROOKLYN, NY 11201	NONE	PC	COMMUNITY PROGRAMS	400,000.
HERITAGE CHRISTIAN SERVICES 275 KENNETH DRIVE, SUITE 100 ROCHESTER, NY 14623	NONE	PC	COMMUNITY PROGRAMS	10,000.
IBERO-AMERICAN ACTION LEAGUE 817 E MAIN ST ROCHESTER, NY 14605	NONE	PC	COMMUNITY PROGRAMS	10,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
JESSICA'S CLOSET P.O. BOX 944 JACKSONVILLE, VT 05342	NONE	PC	COMMUNITY PROGRAMS	5,000.
JOBS FOR MAINE'S GRADUATES, INC. 45 COMMERCE DR., SUITE 9 AUGUSTA, ME 04430	NONE	PC	COMMUNITY PROGRAMS	25,000.
JUDY DWORIN PERFORMANCE PROJECT 75 CHARTER OAK AVE, BUILDING ONE, SUITE 206 HARTFORD, CT 06103	NONE	PC	COMMUNITY PROGRAMS	5,000.
MAINE COMMUNITY FOUNDATION 245 MAIN STREET ELLSWORTH, ME 04605	NONE	PC	COMMUNITY PROGRAMS	100,000.
MAINE WOMENS LOBBY EDUCATION FUND 295 WATER STREET, SUITE 10 AUGUSTA, ME 04330	NONE	PC	COMMUNITY PROGRAMS	5,000.
MEALS ON WHEELS AMERICA 1550 CRYSTAL DRIVE, SUITE 1004 ARLINGTON, VA 22202	NONE	PC	COMMUNITY PROGRAMS	100,000.
MY HAPPY PLACE P.O. BOX 982 MASON CITY, IA 50402	NONE	PC	COMMUNITY PROGRAMS	5,000.
NEW BEGINNINGS 134 COLLEGE STREET LEWISTON, ME 04240	NONE	PC	COMMUNITY PROGRAMS	10,000.
NEW HAVEN PRIDE CENTER P.O. BOX 89814 NEW HAVEN, CT 06532	NONE	PC	COMMUNITY PROGRAMS	5,000.
ONE CITY FUN CITY OF ROCHESTER - COOL SWEEP 30 CHURCH ST, ROOM 106-A ROCHESTER, NY 14614	NONE	GOV	COMMUNITY PROGRAMS	15,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
OPERATION FUEL 75 CHARTER OAK AVE STE 2-240 HARTFORD, CT 06106	NONE	PC	COMMUNITY PROGRAMS	25,000.
OREGON CHILDREN'S FOUNDATION - START MAKING A READER TODAY (SMART) 101 SW MARKET ST PORTLAND, OR 97201	NONE	PC	COMMUNITY PROGRAMS	35,000.
OREGON COMMUNITY FOUNDATION 1221 SW YAMHILL STREET, SUITE 100 PORTLAND, OR 97205	NONE	PC	COMMUNITY PROGRAMS	75,000.
PINE TREE SOCIETY 149 FRONT STREET BATH, ME 04530	NONE	PC	COMMUNITY PROGRAMS	5,000.
PORTLAND COMMUNITY SQUASH 66 NOYES STREET PORTLAND, ME 04103	NONE	PC	COMMUNITY PROGRAMS	5,000.
PREBLE STREET 38 PREBLE STREET PORTLAND, ME 04101	NONE	PC	COMMUNITY PROGRAMS	20,000.
RAPE, ABUSE & INCEST NATIONAL NETWORK - RAINN 1220 L STREET, NW, SUITE 505 WASHINGTON, DC 20005	NONE	PC	COMMUNITY PROGRAMS	25,000.
REHAB'S SISTERS P.O. BOX 90234 PORTLAND, OR 97290	NONE	PC	COMMUNITY PROGRAMS	5,000.
ROCHESTER AREA COMMUNITY FOUNDATION 500 EAST AVE ROCHESTER, NY 14607	NONE	PC	COMMUNITY PROGRAMS	100,000.
RONALD MCDONALD HOUSE CHARITIES OF NEW YORK - ROCHESTER 333 WESTMORELAND DRIVE ROCHESTER, NY 14620	NONE	PC	COMMUNITY PROGRAMS	25,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
RONALD MCDONALD HOUSE CHARITIES OF PORTLAND & BANGOR 250 BRACKETT STREET PORTLAND, ME 04102	NONE	PC	COMMUNITY PROGRAMS	20,000.
RONALD MCDONALD HOUSES CHARITIES OF CONNECTICUT & WESTERN MASSACHUSETTS 860 HOWARD AVE NEW HAVEN, CT 06519	NONE	PC	COMMUNITY PROGRAMS	25,000.
SAVE THE CHILDREN 501 KINGS HIGHWAY E STE 400 FAIRFIELD, CT 06825	NONE	PC	COMMUNITY PROGRAMS	10,000.
SOLAR YOUTH 53 WAYFARER STREET NEW HAVEN, CT 06515	NONE	PC	COMMUNITY PROGRAMS	10,000.
STAND WITH ME P.O. BOX 206 BINGHAMTON, NY 13903	NONE	PC	COMMUNITY PROGRAMS	5,000.
THE RESEARCH FOUNDATION FOR SUNY ON BEHALF OF THE COLLEGE AT BROCKPORT - CAMP ABILITIES 350 NEW CAMPUS DRIVE BROCKPORT, NY 14420	NONE	PC	COMMUNITY PROGRAMS	5,000.
THE SYNTHESIS COLLABORATIVE WALL THERAPY 38 ADAMS STREET ROCHESTER, NY 14608	NONE	PC	COMMUNITY PROGRAMS	5,000.
TRINITY JUBILEE CENTER 247 BATES STREET LEWISTON, ME 04240	NONE	PC	COMMUNITY PROGRAMS	20,000.
BERKSHIRE UNITED WAY 200 SOUTH ST PITTSFIELD, MA 01201	NONE	PC	COMMUNITY PROGRAMS	25,000.
UNITED WAY OF GREATER NEW HAVEN 900 CHAPEL ST 10TH FLR NEW HAVEN, CT 06510	NONE	PC	COMMUNITY PROGRAMS	20,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
UNITED WAY BROOME COUNTY P.O. BOX 550 BINGHAMTON, NY 13902	NONE	PC	COMMUNITY PROGRAMS	50,000.
UNITED WAY GREATER NEW HAVEN/CT UNITED WAYS 900 CHAPEL ST 10TH FLR NEW HAVEN, CT 06510	NONE	PC	COMMUNITY PROGRAMS	50,000.
UNITED WAY OF GREATER PORTLAND P.O. BOX 15200 PORTLAND, ME 04112	NONE	PC	COMMUNITY PROGRAMS	15,000.
UNITED WAY OF GREATER ROCHESTER 75 COLLEGE AVE ROCHESTER, NY 14607	NONE	PC	COMMUNITY PROGRAMS	15,000.
UNITED WAY OF KENNEBEC VALLEY 121 COMMERCIAL ST AUGUSTA, ME 04330	NONE	PC	COMMUNITY PROGRAMS	10,000.
UNITED WAY OF MID COAST MAINE 34 WING FARM PKWY, STE 201 BATH, ME 04530	NONE	PC	COMMUNITY PROGRAMS	10,000.
UNITED WAY OF THE KLAMATH BASIN 136 N 3RD ST SUITE B KLAMATH FALLS, OR 97601	NONE	PC	COMMUNITY PROGRAMS	20,000.
UNITED WAY WORLDWIDE - TRUIST - EMPLOYEE MATCH (CONNECTICUT) 701 N. FAIRFAX STREET ALEXANDRIA, VA 22314	NONE	PC	COMMUNITY PROGRAMS	47,717.
VETERANS ADAPTIVE SPORTS & TRAINING (VAST), PINELAND FARMS 32 FARM VIEW DRIVE NEW GLOUCESTER, ME 04260	NONE	PC	COMMUNITY PROGRAMS	5,000.
ROARING BROOK NATURE CENTER AND CHILDREN'S MUSEUM 950 TROUT BROOK DRIVE WEST HARTFORD, CT 06119	NONE	PC	BIODIVERSITY & CLIMATE CHANGE	10,000.
Total from continuation sheets				

Schedule B

(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No 1545-0047

2020

Name of the organization

AVANGRID FOUNDATION, INC.

Employer identification number

13-4200689

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the **General Rule** and a **Special Rule**. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization AVANGRID FOUNDATION, INC.	Employer identification number 13-4200689
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Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	AVANGRID RENEWABLES 1125 NW COUCH STREET SUITE 700 PORTLAND, OR 97209	\$ 539,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2	NEW YORK STATE ELECTRIC AND GAS P.O. BOX 5224 BINGHAMTON, NY 13902	\$ 490,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
3	CENTRAL MAINE POWER COMPANY 83 EDISON DRIVE AUGUSTA, ME 04332	\$ 392,058.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
4	ROCHESTER GAS AND ELECTRIC CORPORATION PO BOX 1050 BINGHAMTON, NY 13902	\$ 490,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
5	BERKSHIRE GAS CO 115 CHESHIRE ROAD PITTSFIELD, MA 01201	\$ 49,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
6	UIL HOLDINGS 60 MARSH HILL ROAD NEW HAVEN, CT 06510	\$ 539,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization AVANGRID FOUNDATION, INC.	Employer identification number 13-4200689
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Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	UNION WATER POWER COMPANY <hr/> PO BOX 1050 <hr/> AUGUSTA, ME 04332	\$ 63,700.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization AVANGRID FOUNDATION, INC.	Employer identification number 13-4200689
--	---

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____

Name of organization AVANGRID FOUNDATION, INC.	Employer identification number 13-4200689
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Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor Complete columns (a) through (e) and the following line entry For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$ _____
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
JP MORGAN	13,889.	0.	13,889.	13,889.	
TO PART I, LINE 4	13,889.	0.	13,889.	13,889.	

FORM 990-PF RENTAL INCOME STATEMENT 2

KIND AND LOCATION OF PROPERTY	ACTIVITY NUMBER	GROSS RENTAL INCOME
ANTHONY AVE, AUGUSTA, ME	2	274,830.
RAND ROAD, PORTLAND, ME	6	
TOTAL TO FORM 990-PF, PART I, LINE 5A		274,830.

FORM 990-PF RENTAL EXPENSES STATEMENT 3

DESCRIPTION	ACTIVITY NUMBER	AMOUNT	TOTAL
DEPRECIATION		34,936.	
TAXES (REFUNDS INCLUDED WITH RENTAL INCOME)		0.	
		0.	
- SUBTOTAL -	2		34,936.
TAXES		5,319.	
- SUBTOTAL -	6		5,319.
TOTAL RENTAL EXPENSES			40,255.
NET RENTAL INCOME TO FORM 990-PF, PART I, LINE 5B			234,575.

FORM 990-PF	ACCOUNTING FEES			STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL AND ACCOUNTING FEES	11,200.	5,600.		0.
TO FORM 990-PF, PG 1, LN 16B	11,200.	5,600.		0.

FORM 990-PF	TAXES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	7,146.	0.		0.
NYS FEE	250.	0.		0.
TAXES (REFUNDS INCLUDED WITH RENTAL INCOME)	0.	0.		0.
TAXES	5,319.	5,319.		0.
TO FORM 990-PF, PG 1, LN 18	12,715.	5,319.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
DUES AND SUBSCRIPTIONS	3,470.	0.		0.
MARKETING	720.	0.		0.
TO FORM 990-PF, PG 1, LN 23	4,190.	0.		0.

FORM 990-PF DEPRECIATION OF ASSETS HELD FOR INVESTMENT STATEMENT 7

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
BUILDINGS AND IMPROVEMENTS	1,395,884.	663,279.	732,605.
LAND	954,116.	0.	954,116.
TOTAL TO FM 990-PF, PART II, LN 11	2,350,000.	663,279.	1,686,721.

FORM 990-PF LIST OF SUBSTANTIAL CONTRIBUTORS STATEMENT 8
PART VII-A, LINE 10

NAME OF CONTRIBUTOR	ADDRESS
NEW YORK STATE ELECTRIC AND GAS	P.O. BOX 5224 BINGHAMTON, NY 13901
ROCHESTER GAS AND ELECTRIC CORPORATION	PO BOX 5244 BINGHAMTON, NY 13902
CENTRAL MAINE POWER COMPANY	83 EDISON DRIVE AUGUSTA, ME 04332
AVANGRID RENEWABLES	1125 NW COUCH ST STE 600 PORTLAND, OR 97209
UIL HOLDINGS	60 MARSH HILL ROAD NEW HAVEN, CT 06510
BERKSHIRE GAS COMPANY	115 CHESHIRE ROAD PITTSFIELD, MA 01201
UNION WATER POWER COMPANY	PO BOX 1050 AUGUSTA, ME 04332

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 9

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

NICOLE GRANT
180 MARSH HILL ROAD
ORANGE, CT 06477

TELEPHONE NUMBER

203-499-2461

EMAIL ADDRESS

AVANGRIDFOUNDATION@AVANGRID.COM

FORM AND CONTENT OF APPLICATIONS

FOUNDATION HAS A GRANT APPLICATION

Handwritten signature

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

QUALIFIED 501(C)(3) AND RELATED ENTITIES BASED AND OPERATING IN THE US AND TERRITORIES PRIMARILY, WITH A FOCUS SUSTAINABLE COMMUNITIES AND VULNERABLE PERSONS.